

Larger gains from improved management over sparing–sharing for tropical forests

Rebecca K. Runting^{1,2*}, Ruslandi³, Bronson W. Griscom^{4,5}, Matthew J. Struebig⁶, Musnanda Satar³, Erik Meijaard^{7,8}, Zuzana Burivalova⁹, Susan M. Cheyne^{10,11}, Nicolas J. Deere⁶, Edward T. Game^{12,13}, F. E. Putz¹⁴, Jessie A. Wells^{1,4}, Andreas Wilting¹⁵, Marc Ancrenaz^{8,16}, Peter Ellis⁴, Faisal A. A. Khan¹⁷, Sara M. Leavitt⁴, Andrew J. Marshall^{18,19,20,21}, Hugh P. Possingham^{2,7,12}, James E. M. Watson^{1,22} and Oscar Venter²³

Tropical forests are globally important for both biodiversity conservation and the production of economically valuable wood products. To deliver both simultaneously, two contrasting approaches have been suggested: one partitions forests (sparing); the other integrates both objectives in the same location (sharing). To date, the ‘sparing or sharing’ debate has focused on agricultural landscapes, with scant attention paid to forest management. We explore the delivery of biodiversity and wood products in a continuum of sparing-to-sharing scenarios, using spatial optimization with set economic returns in East Kalimantan, Indonesia—a biodiversity hotspot. We found that neither sparing nor sharing extremes are optimal, although the greatest conservation value was attained towards the sparing end of the continuum. Critically, improved management strategies, such as reduced-impact logging, provided larger conservation gains than altering the balance between sparing and sharing, particularly for endangered species. Ultimately, debating sparing versus sharing has limited value while larger gains remain from improving forest management.

Over half of the world’s species live in tropical forests¹, ecosystems that also help mitigate climate change² and provide critical ecosystem services, including clean water and reduced heat stress³. These values have led to a number of international policies that support the preservation and better management of tropical forests. The 2020 Strategic Plan for Biodiversity, for example, aims to halve deforestation rates by 2020 and substantially reduce forest degradation⁴, goals reinforced by the New York Declaration on Forests⁵ and the United Nations Sustainable Development Goals⁶. The 2015 Paris Agreement highlights the importance of tropical forests for limiting future global temperature increase to below 2 °C above pre-industrial levels⁷, and recent research shows that conservation, restoration and improved management of tropical forests can deliver 21% of the emission reductions required between now and 2030 to reach this goal². Furthermore, the provision of structural wood is potentially an important part of the climate mitigation solution since it can be used to replace steel and concrete in construction—two products that generate substantial CO₂ emissions⁸.

At the same time, the forestry industry, which ranges from selective logging in natural forests to the intensive management

of short-rotation wood fibre plantations, contributes to regional economies in almost all forested tropical countries⁹. For example, forestry in Indonesia contributes US\$15.2 billion annually to the gross domestic product (1.7%) while directly employing nearly half a million people¹⁰. While forestry provides clear benefits for socio-economic development in tropical countries, industrial-scale exploitation is well known to reduce the structural complexity of forested landscapes, and in turn reduces forest-dependent biodiversity¹¹. Meanwhile, conversion of native forests to monoculture wood fibre plantations is a major cause of deforestation globally, and the largest driver of deforestation in Indonesia¹².

A major question for how to best maintain the production of wood products while conserving biodiversity values is whether these forests are best managed through intensive or extensive forest management strategies¹³. Intensification, either through increased harvest intensities in natural forests or the development of industrial wood fibre plantations, allows for production to be sourced from a smaller area, thereby potentially ‘sparing’ from degradation a larger portion of the forest estate for biodiversity and other ecosystem services. In a forest-sparing landscape, the vast majority of

¹School of Earth and Environmental Sciences, University of Queensland, Brisbane, Queensland, Australia. ²The Centre for Biodiversity and Conservation Science, University of Queensland, Brisbane, Queensland, Australia. ³The Nature Conservancy Indonesia Program, Kebayoran Baru, Jakarta, Indonesia.

⁴The Nature Conservancy, Arlington, VA, USA. ⁵Department of Biology, James Madison University, Harrisonburg, VA, USA. ⁶Durrell Institute of Conservation and Ecology, School of Anthropology and Conservation, University of Kent, Canterbury, UK. ⁷ARC Centre of Excellence for Environmental Decisions, University of Queensland, Brisbane, Queensland, Australia. ⁸Borneo Futures Project, Bandar Seri Begawan, Brunei. ⁹Woodrow Wilson School of Public and International Affairs, Princeton University, Princeton, NJ, USA. ¹⁰Borneo Nature Foundation, Palangka Raya, Indonesia. ¹¹Department of Social Sciences, Oxford Brookes University, Oxford, UK. ¹²The Nature Conservancy, Brisbane, Queensland, Australia. ¹³School of Biological Sciences, University of Queensland, Brisbane, Queensland, Australia. ¹⁴Department of Biology, University of Florida, Gainesville, FL, USA. ¹⁵Leibniz Institute for Zoo and Wildlife Research, Berlin, Germany. ¹⁶HUTAN-Kinabatangan Orangutan Conservation Programme, Kota Kinabalu, Malaysia. ¹⁷Faculty of Resource Science and Technology, Universiti Malaysia Sarawak, Kota Samarahan, Malaysia. ¹⁸Department of Anthropology, University of Michigan, Ann Arbor, MI, USA. ¹⁹Department of Ecology and Evolutionary Biology, University of Michigan, Ann Arbor, MI, USA. ²⁰Program in the Environment, University of Michigan, Ann Arbor, MI, USA. ²¹School of Environment and Sustainability, University of Michigan, Ann Arbor, MI, USA. ²²Wildlife Conservation Society, Global Conservation Program, New York, NY, USA. ²³Natural Resource and Environmental Studies Institute, University of Northern British Columbia, Prince George, British Columbia, Canada. *e-mail: rebecca.runting@unimelb.edu.au

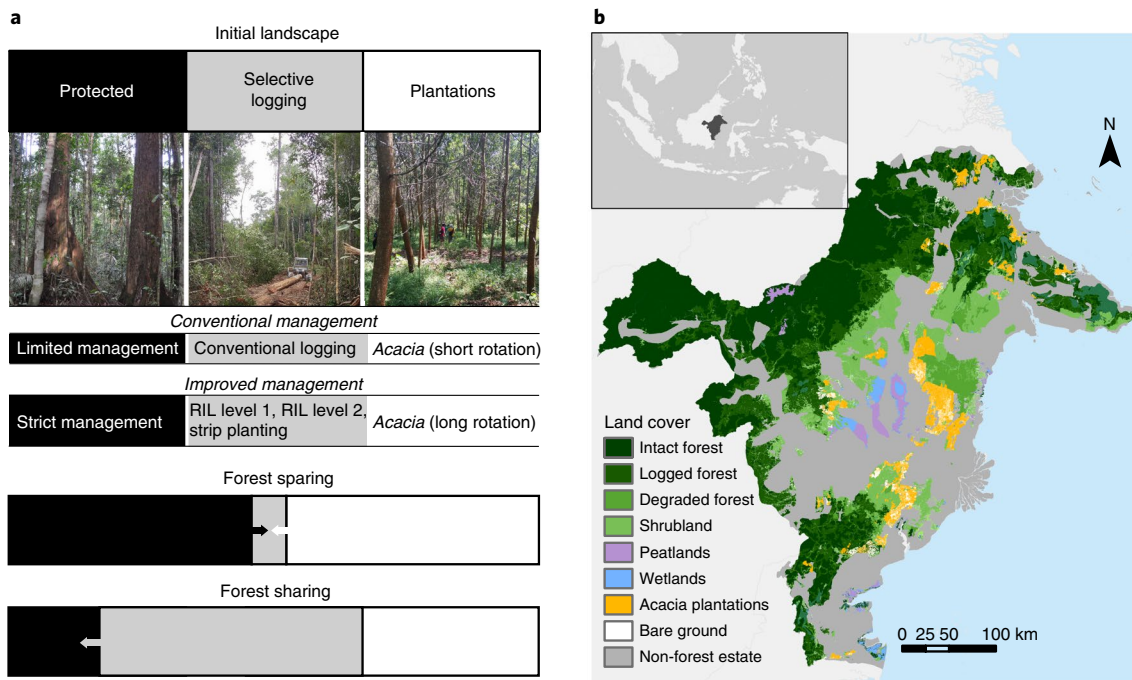


Fig. 1 | The context of the study. **a**, Conceptual framing of sparing and sharing strategies for tropical forests, including conventional and improved management types for each broad land-use category. Definitions of each management type are given in Table 1. Photographs are all in East Kalimantan including (left to right): Wehea Protected Area, East Kutai Regency (E.T. Game); Rizki Kacida Reana logging concession, Berau Regency (R.K. Runting); and Tanjung Redeb Hutani fibre plantation, Berau Regency (R.K. Runting). **b**, Location of the 8.1M ha forest estate within East Kalimantan, Indonesia, and the dominant land cover types (Supplementary Information). All mining, industrial, oil palm and settlement areas are excluded because they are not permitted within the forest estate (placed in the non-forest estate here).

the biodiversity value is derived from the spared land, since intensively managed stands, especially plantations, have limited biodiversity value¹¹. In direct contrast, forest ‘sharing’ approaches aim to maintain biodiversity within extensive areas of forest that are harvested at lower intensities. This approach reflects the understanding that selectively logged tropical forests can maintain a large fraction of the biodiversity found in natural forest stands¹⁴. Previous studies have examined the spectrum of tropical forestry intensification aspatially at the stand or concession level^{15–17}, but no study has yet investigated the broadscale performance of tropical forest sharing versus sparing strategies in a spatially heterogeneous landscape.

Discussion of highly modified agricultural landscapes dominates the land-sparing versus land-sharing debate, and the general conclusion is that sparing better protects biodiversity while maintaining agricultural yields¹⁸. This result could be driven by the fact that even low-intensity agriculture usually involves conversion of forests and other native ecosystems (or at least prevents their recovery), which limits the conservation potential of sharing in agricultural landscapes. As such, the documented benefits from land sparing in agricultural landscapes are linked to high-impact and high-yielding cropping systems¹⁹, which may not carry over to other production systems with comparatively lower impact, such as timber production landscapes¹³, where production does not necessarily imply conversion. As forests occupy nearly three times the land area of agriculture globally (41.5Mkm^{-2,20} compared to 15Mkm^{-2,21}), exploring forest sharing versus forest sparing could have vast implications for global biodiversity.

However, tropical forests are highly complex systems with considerable scope for improved management beyond the spectrum of intensification. Improving how landscapes and seascapes are managed is at the heart of global conservation and sustainability strategies (for example, the Sustainable Development Goals⁶ and the Convention on Biological Diversity⁴). In a shared landscape,

reduced-impact logging (RIL) practices can minimize the disturbances caused by logging without impacting the volume of timber extracted²². Alternatively, conservation outcomes from plantation management can be improved through practices such as longer rotations²³. Improved management is also pertinent in the ‘spared’ land scenario, since strictly enforcing protected areas (through, for example, increasing patrols) can have greater biodiversity benefits than expanding the reserve system when there is poor enforcement^{24,25}. Consequently, it is imperative to include improved management strategies within the sparing or sharing framework for forest systems.

In this study, we consider forest sparing, sharing and improved management in the East Kalimantan Province of Indonesian Borneo. Indonesia exports more wood products than any other tropical country⁹, yet the region is a major evolutionary hotspot²⁶, contains high species richness and endemism, and includes charismatic and critically endangered species such as the Bornean orangutan (*Pongo pygmaeus*). Our analysis includes East Kalimantan’s entire forest estate (~8.1 million ha), which is an area managed by the national-level Ministry of Environment and Forestry where only forested land uses are permitted (including selective logging and wood fibre plantations) (Fig. 1b). We aim to determine the effectiveness of sparing and sharing strategies, while accounting for the role of improved management, using a broadscale spatial optimization of management types. The optimal spatial configuration is achieved by fixing the total economic returns across the landscape and maximizing the conservation of habitat suitable for regional mammal species and areas of high conservation value (HCV), which include large areas that are important for threatened ecosystems and maintaining ecological processes²⁷. Rather than treating sparing and sharing strategies as a dichotomy, we consider a continuum from sparing to sharing, defined by the proportion of selective logging in the forest estate relative to protected areas and plantations (Fig. 1a).

For example, an extreme sparing scenario would contain no selective logging, with all forests being either in protected areas or intensively managed wood fibre plantations. To incorporate the role of improved management, we select at least one conventional and one improved management type for each broad land-use category (that is, protected areas, selective logging and plantations) (Fig. 1a, Table 1). Including improved management allows us to determine the relative contribution of these management types to delivering conservation outcomes.

Results

Our spatial optimization of management types revealed both expected and unexpected outcomes for broadscale forest management. As expected, extreme sparing and extreme sharing produced vastly different spatial configurations (Fig. 2). The sharing strategy necessitated large expanses of selective logging, with only 40% of planning units allocated to the same zones as in the sparing strategy, primarily within existing protected areas (Fig. 2). Importantly, our results show that neither the extremes of sparing nor sharing were identified as the optimal solution. Instead, the optimal solution involved a mixed land-use configuration that tended towards the sparing end of the continuum, while containing elements of both sparing and sharing at finer scales (Fig. 2). In the optimal scenario, the expansion of *Acacia mangium* plantations tended to be located in degraded forest, scrubland or bare areas (63%), whereas selective logging was split between previously logged (79%) and intact forest (21%).

The finding that the optimal spatial configuration tended towards the sparing end of the continuum held true across a range of objectives and parameter combinations (Figs. 3a and 4). The parameter case that caused the largest change along the sparing-to-sharing continuum from the base parameter combinations was if the net present value (NPV) of *Acacia mangium* plantations was decreased by 25%. This scenario represents the uppermost outlier across all conservation objectives, with an optimal landscape shifted towards sharing, although this strategy was generally still towards the sparing end of the continuum (Fig. 3a). Increasing or decreasing the discount rate used to calculate the NPV shifted the solution towards sharing or sparing respectively, but these changes were minor compared to other parameters in the sensitivity analysis. Towards the sparing end of the spectrum, the largest shifts were seen by using the lower bounds for habitat quality from the Delphi expert elicitation (Supplementary Information), or increasing the NPV of *Acacia mangium* plantations by 25%. In contrast, increasing the NPV threshold (that is, the minimum NPV to be produced from the whole landscape) resulted in a greater mix of strategies, moving the solution towards sharing (Fig. 3b).

Our results reveal the strong benefits of improved management strategies irrespective of the degree of forest sparing and sharing. Improved management types dominated all spatial solutions, with only minor contributions from conventional management types (Fig. 2). This result remained true even when varying the level of economic value required from the landscape (NPV thresholds, Fig. 3b). Whether or not we constrained the problem to conventional management had little impact on the balance between sparing and sharing across all threatened status and taxonomic groups (that is, primates, carnivores and bats) (Fig. 4). However, allowing improved management types, relative to solutions constrained to conventional management, could improve outcomes by 17.5% of the optimal conservation objective value when targeting endangered species (Fig. 5). For every different weighting of conservation objectives, the gains from improved management were larger than the contributions from selecting the optimal point on the sparing-to-sharing continuum (Fig. 5). In fact, for all conservation objectives (Fig. 3a–h), even selecting the worst point on the sparing-to-sharing continuum for improved management still leads to

Table 1 | Conventional and improved forest management types considered for protected areas, selective logging and wood plantations

Management type	Description
1. Protected areas	
Conventional:	
1a. Limited management	The area is protected but there is limited control of threatening processes (for example, hunting, illegal logging and fire), resulting in some habitat degradation and loss.
Improved:	
1b. Strict management	The effective management of protected areas. Most threatening processes are controlled and habitat is maintained.
2. Selective logging	
Conventional:	
2a. Conventional logging	Selective logging of commercial timber species ≥ 40 cm diameter at breast height. Logging damage averages 52.3 Mg C ha ⁻¹ from hauling, felling and skidding ^a .
Improved:	
2b. RIL level 1 (tractor yarding)	Logging intensity matches conventional logging but the damage is 69% of conventional logging per m ³ of timber extracted due to better planning and training ^a .
2c. RIL level 2 (cable yarding)	Logging intensity matches conventional logging, but the damage is 54% of conventional logging per m ³ of timber extracted due to better planning and training, and the use of cable yarding ^a .
2d. Strip planting	Areas within 200 m of logging roads are enriched with commercial timber species along cleared lines ²⁸ . Timber production increases due to rapid growth of residual and planted trees. The remaining area follows RIL level 2 practices.
3. Wood fibre plantations	
Conventional:	
3a. <i>Acacia mangium</i> (short rotations)	<i>Acacia mangium</i> plantations with 7-year rotations that yield 160 m ³ ha ⁻¹ of wood at each harvest, all of which is used for pulp.
Improved:	
3b. <i>Acacia mangium</i> (long rotations)	<i>Acacia mangium</i> plantations with 12-year rotations that yield 180 m ³ ha ⁻¹ of wood at each harvest; 60% is for pulp and 40% is for saw/veneer logs.

^aB.W.G., manuscript in preparation

greater benefits than selecting the best point on the continuum for conventional management scenarios. This result highlights the far greater importance of improving land management than selecting the right proportion of land-use intensities in the landscape.

Discussion

We evaluated the effectiveness of sparing and sharing strategies for tropical forests using landscape-scale spatial optimization of forest management strategies. While the optimal strategy fell towards the sparing end of the continuum for all conservation objectives (Figs. 2 and 3a), our results challenge the dichotomy of the sparing versus sharing debate, since the optimal strategy contains elements of both sparing and sharing strategies at finer scales. Where areas were designated as protected, strict management was almost always the most cost-effective way of delivering better outcomes, despite the higher costs per unit area (Fig. 2). Likewise, in areas allocated to selective

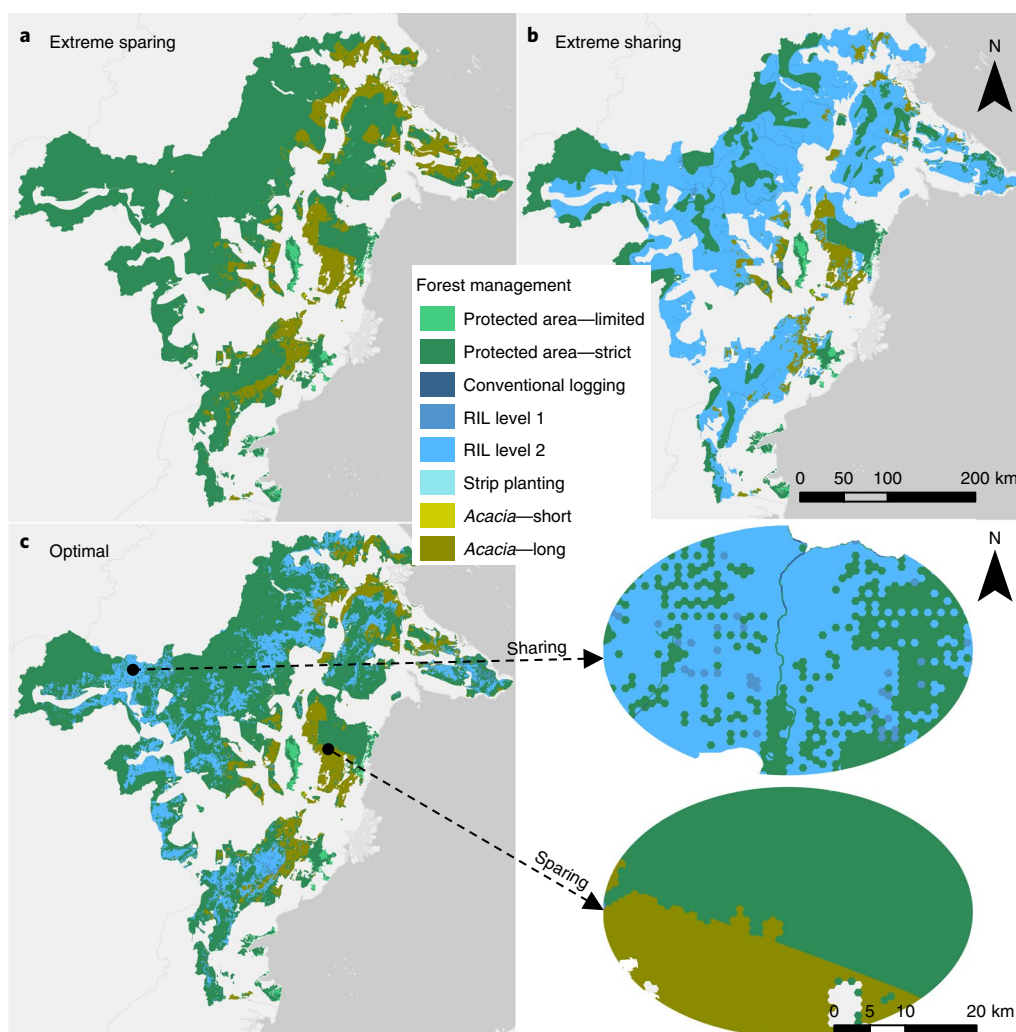


Fig. 2 | Spatial sparing and sharing scenarios. **a**, Extreme sparing. **b**, Extreme sharing. **c**, Optimal spatial configuration. Extreme sparing comprises 18% *Acacia mangium* plantations, with the remainder protected; extreme sharing comprises 64% selective logging, 7% *Acacia mangium* plantations, with the remainder protected; the optimal strategy comprises 21% selective logging, 12% *Acacia mangium* plantations, with the remainder protected. The optimal strategy is mixed, with elements of both sparing and sharing at finer scales.

logging, reduced-impact logging with cable yarding dominated the solutions, and long rotations were preferable for *Acacia mangium* plantations (Fig. 2). Crucially, the collective gains from improved management outperformed any improvement from moving along the sparing-to-sharing spectrum. Ultimately, it was more important to improve management, for any management type, than to shift the landscape towards a sparing strategy. Given these results, we recommend that future studies of sparing and sharing also consider improved management strategies to avoid an unrealistic simplification of landscape management and planning.

The optimal landscape configuration contained a relatively small amount of selective logging (21% of the landscape compared to 38% currently held in logging concessions), and most of this (79%) was allocated to previously logged forests. While intact forests often had higher timber stocks than previously logged or degraded forests, they tended to also have higher harvesting and transport costs due to steeper slopes and the lack of existing roads. In addition, timber yields at the first and second harvests may not be sustainable in the long term, even if cutting cycles are extended to 60 years²⁸. Selectively logging remaining primary forests is also generally considered to have poor outcomes for biodiversity²⁹. Therefore, while logging of primary forests can, at times, provide an initial financial

windfall, these revenues are unlikely to be sustained, and the widespread adoption of this practice is not justified.

We discovered that a relatively small increase in wood fibre plantations (to 12.1% of the forest estate from 5.6% currently) was required to substitute the economic losses from protecting forests that are currently selectively logged, thus maximizing species richness and HCV areas through large protected areas (66% of the forest estate) (Fig. 3b). It is widely recognized that large, contiguous areas of protected forest sustain natural ecological and evolutionary processes, providing a set of high-value ecosystem services, including the regulation of hydrological cycles at multiple scales and the storage of substantial carbon stocks³⁰. They are also critically important for in situ biodiversity conservation, supporting the last intact forest-dependent megafaunal assemblages, wide-ranging and migratory species, and species sensitive to exploitation by or conflicts with humans³¹.

However, our measure of biodiversity (time-averaged habitat quality for mammal species) may not be indicative for all species. For example, we assumed that habitat quality would recover over 60 years following the cessation of logging, on average; however, the recovery of animal populations after selective logging can have substantial temporal variability³². While the richness of medium-to-large mammals can recover in as little as 10 years after logging³³,

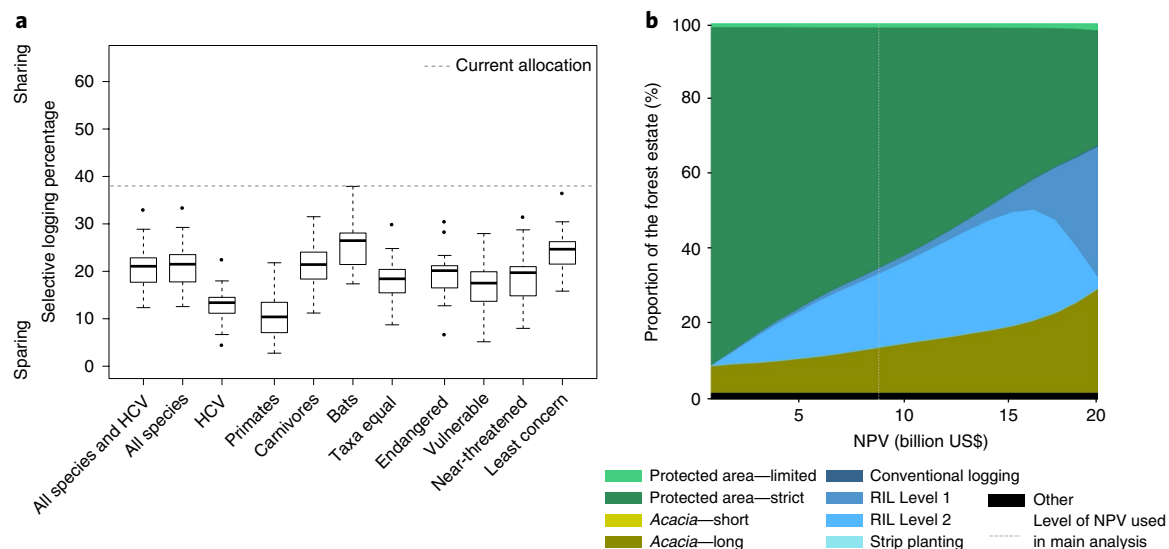


Fig. 3 | Optimal sparing or sharing strategies. a, Variation in the optimal point on the sparing-to-sharing continuum for a range of conservation objectives for a fixed NPV threshold. The variation is represented by a sensitivity analysis of conservation parameters and relative NPVs for each forest management type (Supplementary Table 5). Selective logging can comprise a maximum of 65% of the landscape because of biophysical and administrative constraints, thus we consider 65% selective logging to be the ‘extreme’ sharing scenario. The current proportion of selective logging, if all concessions are active, is 38% of the landscape (dashed grey line). ‘Taxa equal’ represents a conservation objective where each taxon was weighted equally, regardless of the number of species it contained. **b**, Optimal proportion of the landscape in each forest management type across a range of NPV thresholds. More than US\$20 billion NPV could not be extracted from the landscape within the biophysical and administrative restrictions.

bird species that are particularly sensitive to selective logging (for example, the great argus (*Argusianus argus*) or striped wren-babbler (*Kenopia striata*)) do not show signs of population recovery 40 years after logging³⁴, and achieving a community composition similar to primary forest may require more than 150 years³⁵. Other taxonomic groups may also face different recovery rates; tree species richness is likely to recover within 50 years, compared with more than 100 years for epiphyte richness³⁵. In addition, species richness scales with the size of a habitat patch, even within a landscape matrix of different habitat qualities³⁶, so we would expect a patch of forest within a large protected area to have a higher likelihood of mammal species survival than, for example, a similarly sized protected forest patch within an *Acacia mangium* plantation. While we did not explicitly account for this, both the extreme sparing and extreme sharing scenarios, along with the optimal solution, contain large contiguous protected areas (Fig. 2). Incorporating the uncertainty in population recovery along with alternative measures of biodiversity (such as including contiguity and β -diversity) within a spatial planning framework is an important area of future research.

It is important to note that both sparing and sparing strategies could increase the risk of future deforestation.

Under a sparing strategy, direct expansion of forest conversion—in the form of intensive plantations—can increase the risk of further forest conversion due to increased economic returns at the forest frontier^{12,16} and the documented contagion effects of regional deforestation³⁷. Consequently, it is essential for protected areas to be strongly enforced in any application of a sparing land-use strategy for forests. Moreover, the requirements and challenges of protection will vary with factors including accessibility, the opportunity costs of forest protection to a range of actors, and both the willingness and capacity of the government and other owners or controllers of land (for example, concessionaires, village forest leaders) to enforce bans on forest degradation and deforestation³⁸.

Although we fixed total economic returns in terms of NPV, the reality is that the economic costs and revenues from wood production would flow at different times, and to different sectors. For instance, in a forest sharing strategy, selective logging companies

would be the main economic beneficiaries, but revenues would decline after the first cutting cycle in many cases²⁸. Alternatively, in a forest sparing strategy, private plantation owners would receive a large share of the profits, with much of these flowing towards the beginning of the time period when forest conversion occurs. These temporal fluctuations in wood production would also impact local markets and prices, adding uncertainty to the NPV calculations used in this study. Future planning strategies would ideally integrate the uncertainties associated with NPV calculations, unplanned deforestation and other modelling parameters.

Also under a forest sparing strategy, while plantation owners would profit, the government and local communities would bear most of the economic burden. The upfront financial cost of establishing and enforcing protected areas would largely fall to the government, and the opportunity costs of foregone small-scale forest extraction would be borne by local communities. Critically, these different groups are likely to have different economic utility—a given increase in wealth is likely to be of greater relative benefit to a local community than to the government or large plantation owners. In cases of weak governance in tropical developing countries, this may result in limited management of protected areas and forest conversion, which would undermine conservation gains and the benefits of a sparing strategy.

To avoid this perverse outcome we recommend integrating conservation and production goals in land-use planning³⁹—as we have done here—and ensuring the plan is implemented through close partnerships with local actors, particularly local forest-dependent communities and the agricultural sector. Alternatively, intensification could be linked to strict protection through innovative finance mechanisms (such as levies on production) that could subsidize s that offset the lost livelihoods and other opportunity costs of the strict management of protected areas. In the case of Indonesia, East Kalimantan’s Green Growth Compact and Governor’s decree to halt new logging and plantation permits⁴⁰ provide reason for some guarded optimism that the conservation benefits from sparing could be realized.

Under a sharing strategy, the expansion of selective logging requires new roads in remote forest regions, which can also catalyse

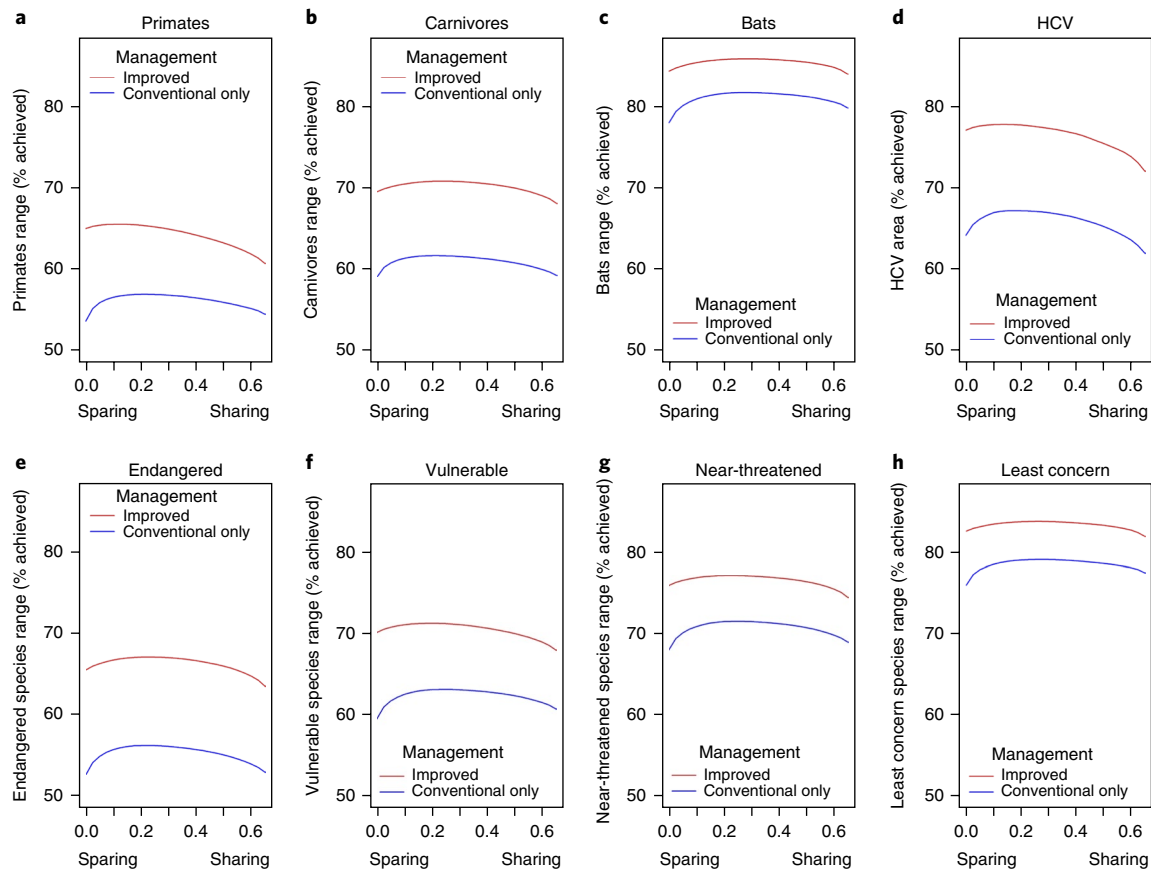


Fig. 4 | The sparing-to-sharing continuum for different taxa and IUCN red list categories when either allowing improved management (red) or constraining the problem to conventional management types (blue). **a–h**, The following groupings were considered: primates (**a**); carnivores (**b**); bats (**c**); HCV areas (**d**); endangered or critically endangered (**e**); vulnerable (**f**); near-threatened (**g**); least concern (**h**). ‘Range % achieved’ refers to the habitat quality \times area (that is, pristine habitat for all species across the entire forest estate would represent 100%). The x axis represents the proportion of selective logging in the landscape, with 0.65 representing the maximum possible. The uncertainties in the optimal position along the sparing-to-sharing continuum and the difference between conventional and improved management are shown in Fig. 2a and Fig. 5, respectively.

deforestation and exploitation, especially where governance is weak⁴¹. Increased accessibility may also heighten the forests’ susceptibility to fire and other natural disturbances⁴², which can also have adverse social impacts, including exposure to hazardous levels of air pollution in the surrounding areas and beyond⁴³. Conversely, a growing body of evidence indicates that legal selective logging concessions²⁵, particularly under certified improved management⁴¹, can often reduce the risk of unplanned deforestation better than protected areas. Our analysis suggests that improved forestry practices across all management types account for both larger and more reliable conservation gains than any sparing or sharing strategy described here. Therefore, we recommend strengthening ongoing efforts to improve forest management in the tropics, such as through REDD+ (reducing emissions from deforestation and degradation) and Forest Stewardship Council certification (where additionality can be established), and community forest management initiatives.

For forests to provide viable habitat for biodiversity, it is of utmost importance to prevent hunting for bushmeat consumption and the wildlife trade, which can be a bigger threat than the direct habitat disturbance from logging for many species³³. Yet, in South East Asia, an unprecedented defaunation of forests is underway due to hunting, especially for the trade of birds as pets, but also for mammals including the Bornean orangutan (*P. pygmaeus*⁴⁴), Sunda pangolin (*Manis javanica*) and large flying fox (*Pteropus vampyrus*)⁴⁵. Enforcement of hunting bans coupled with programmes that provide an alternate source of protein or income for local communities should be an integral part of improved forest management⁴⁶.

Improving forest management could also bring broader socio-ecological benefits beyond timber and biodiversity. Effectively managing protected areas is likely to require additional personnel⁴⁷, thereby increasing employment opportunities, and certified selective logging can (although not always) bring social benefits by improving worker safety and job security⁴⁸. Improved management in protected areas and selective logging concessions are also likely to have carbon co-benefits⁴⁹. While carbon sequestration has primarily global benefits, it is also of particular relevance to East Kalimantan, which has been selected as a World Bank REDD+ implementation site to pilot broadscale emission reductions and payment schemes. Other ecosystem services, such as flood prevention and temperature regulation, have even greater relevance to local communities⁵⁰ and are also likely to be delivered through improved forest management. These broader socioecological benefits should also be considered to help ensure human well-being is attained alongside benefits to biodiversity across sparing-to-sharing landscapes⁵¹.

Improved management, in conjunction with systematic planning^{39,52}, can maintain economic production from tropical forests while delivering substantial biodiversity outcomes at a broad scale. Our results indicate that these conservation gains could be greater than those achieved from altering the balance between sparing or sharing in the landscape, despite the higher costs often involved in better management. These gains are also likely to be more reliable in practice. Improving management through investment in managing protected areas and innovative logging methods can resist the forest conversion pressures²⁵ associated with intensification.

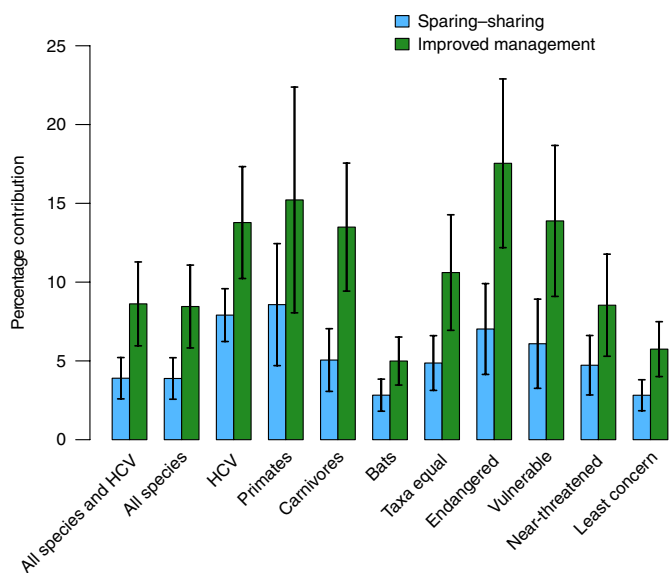


Fig. 5 | Contribution to the optimal objective value from improved management and sparing/sharing strategies across the range of conservation objectives. The contributions of sparing versus sharing were calculated as the difference between the best and worst performing points on the sparing-to-sharing continuum, as a percentage of the performance of the optimal solution. The contribution of improved management was calculated as the optimal improved management solution less the optimal solution when restricted to conventional management types, as a percentage of the performance of the optimal solution. The error bars represent the minimum and maximum resulting from the sensitivity analysis.

Based on our findings, it is time to question the utility of framing forest management within the sparing versus sharing dichotomy. Tropical forests are highly diverse systems with immense conservation value and production potential. Restricting broadscale management options to only sparing or sharing strategies risks oversimplifying the complexity of these systems, and will ultimately deliver suboptimal outcomes for biodiversity conservation. This is of particular concern since many tropical forest species are already facing extinction, and require immediate, coordinated and effective action to reverse the decline³³. This highlights the vital importance of bolstering ongoing efforts to improve forest management throughout the tropics. Ultimately, debating sparing versus sharing may only serve to distract research and management efforts while large gains from improving forest management go untapped.

Methods

Framework and context. The land-sparing versus land-sharing framework was initially defined for agricultural landscapes, considering food production and biodiversity as primary objectives³⁴. Land sparing was defined as intensifying production to maximize agricultural yield within a fixed area and dedicating other land to biodiversity conservation. Conversely, land sharing (or ‘wildlife-friendly farming’) aimed to maintain biodiversity within less intensively farmed agricultural landscapes¹⁹. In this study, we adapted this framework by substituting intensively managed *Acacia mangium* plantations for high-yield farmland, and selective logging of natural forests for wildlife-friendly farming (Fig. 1). We defined the sparing-to-sharing continuum by the proportion of selective logging in the landscape relative to protected areas and wood plantations. However, these broad categories (protected areas, selective logging and plantations) overlook the potential to improve the way tropical forests can be managed. Therefore, we selected at least one conventional and one improved management type for each broad category, resulting in eight different management types in total (Table 1). These management types are relevant to the forest estate within the East Kalimantan Province, while also including aspirational—yet feasible—options for improvement.

NPV. To determine the optimal allocation of forest management strategies, we needed to know the NPVs of the different forest management types across the

landscape to give a standardized measure of economic value. Alternative measures, such as the volume of wood harvested, were not comparable across management types because wood destined for hardwood products is more valuable than wood destined for pulp and paper. For each management type, the NPV was calculated over 60 years at a 6% discount rate⁵⁵ and all values are given in US\$. The NPVs of protected areas included a one-off establishment cost along with annual management costs that differed under the strict and limited management types¹⁷. Costs and revenue calculations for logging and plantations were informed by growth and yield modelling, information gathered from reviewing the relevant literature and data obtained from internal company reports during visits to nine logging concessions in East Kalimantan in April and May 2017. For selective logging management types, we determined profits to the landholder by calculating the revenue from harvest minus harvesting costs (that is, felling, skidding and hauling), taxes, and for the enrichment planted stands, the costs of planting and tending. We modelled 30-year cutting cycles, assuming that 1/30 of the harvestable area within each planning unit was logged in each year (on average). The costs were modified by slope and accessibility, while the volume of timber harvested varied with logging history, above-ground biomass and forest management type (at the second harvest). For *Acacia mangium* plantations, profits were determined by calculating the harvest revenues, minus the costs of planting, maintenance, harvesting, transport and taxes, while accounting for slope, elevation and soil type (peat or mineral). In some cases, *Acacia mangium* plantations also produced additional revenue from clear-felling intact and logged forests before plantation establishment.

Given the uncertainty in parameter estimation for NPV calculations and the potential for future changes (such as market prices), we determined the impact of potential variation in the relative NPVs between the sparing and sharing strategies, and between conventional and improved management strategies. Specifically, we varied the relative NPVs between protected areas, selective logging and *Acacia mangium* plantations by $\pm 25\%$, and separately varied the conventional management strategies by $\pm 25\%$ (Supplementary Table 5). We also varied the discount rate between 3 and 10%. A detailed description of the NPV calculations is given in the Supplementary Information.

Conservation objectives. Our conservation objectives are to preserve suitable habitat for mammal species and maintain the values and purpose of HCV areas. We used species distributions for primates, carnivores and bats from Struebig et al.⁵⁶ and HCV areas from Wells, Paoli and Suryadi²⁷. To quantify the potential impact of each forest management type on species’ habitats and HCV areas, we conducted a Delphi expert elicitation process (Supplementary Information). We chose this process over more formal data analysis for two reasons: (1) East Kalimantan is a relatively data-poor region; and (2) some of the improved forest management strategies considered in this study (Table 1) are not yet widely practised in the region, which limits our ability to statistically correlate management with impact. The Delphi method includes feedback to respondents over multiple rounds, which can reduce biases^{57,58}. Participants scored the impact of each management type on the habitat quality for each species, and the extent to which each management type maintained the values and purpose of each HCV. We then calculated the time-averaged habitat quality over 60 years, accounting for transitions between different management types (Supplementary Information). A sensitivity analysis was conducted; this included the upper and lower bounds from the Delphi process for each species and HCV class, and also an alternative threshold for classifying species distribution (Supplementary Table 5).

Spatial optimization. For the continuum of sparing-to-sharing strategies, we aimed to maximize the amount of habitat suitable for each mammal species and for HCV areas, subject to the landscape producing a set economic value. We formulated our approach as an integer linear programming problem similar to Marxan with Zones^{59,60}. The general form of the problem is:

$$\text{Maximize: } \sum_{a=1}^A w_a \sum_{k=1}^K \sum_{i=1}^N r_{aik} x_{ik} \tag{1}$$

$$\text{Subject to: } \sum_{k=1}^K \sum_{i=1}^N v_{ik} x_{ik} \geq T \tag{2}$$

$$\sum_{k=1}^K x_{ik} = 1, \forall i, i = 1, \dots, N \tag{3}$$

$$P \geq \sum_{k=3}^6 \sum_{i=1}^N s_k x_{ik} \geq Q \tag{4}$$

$$x_{ik} \in \{0, 1\} \tag{5}$$

where: w_a is the weight allocated to objective a ; r_{aik} is the standardized value of objective a for planning unit i in zone k ; x_{ik} is a binary decision variable that is 1 when planning unit i is assigned to zone k and 0 otherwise (equation 5); equation 3 ensures every planning unit is assigned to one zone only; v_{ik} is the NPV of assigning planning unit i to zone k ; T is the minimum NPV that must be produced from the final zone allocation; s_i is the size (area) of planning unit i ; zones $k=3, \dots, 6$ are the selective logging management types (conventional logging, RIL Level 1, RIL Level 2, and strip planting), Q is the minimum area to be allocated to selective logging and P is the maximum area (equation 4).

Our aim is to maximize the objective function (equation 1), which is a weighted sum of the objectives (that is, the amount of suitable habitat for mammal species and HCV areas) across the landscape. In subsequent scenarios, we altered this objective to focus on species only, HCV areas only, specific taxonomic groups or IUCN Red List statuses to determine if this altered the impacts of sparing-sharing strategies. The first constraint (equation 2) ensures a minimum NPV across the landscape. This East Kalimantan-wide minimum NPV was set at US\$8,764 million to match the amount that could be extracted if all current logging and plantation concessions were fully active but still within biophysical and legislative constraints. To calculate this figure, conventional management was assumed except for some logging concessions in which RIL is known to be practised⁶¹. Given the likely increases in future demands for both timber and pulp, we tested the sensitivities of our findings to different province-wide NPVs from forest and plantation land by varying East Kalimantan-wide minimum NPV from US\$0 to US\$20 billion. This allowed us to determine the sensitivity of sparing and sharing to the level of production in the landscape. The third constraint (equation 4) restricts the area allocated for selective logging (any of conventional logging, RIL Level 1, RIL Level 2 and strip planting) to be $\geq Q$ and $\leq P$. This range was iterated in increments representing 2.5% of the landscape to force varying degrees of sparing and sharing. For instance, a value of zero allocated to P represents extreme sharing, with only wood fibre plantations (long- or short-rotation *Acacia mangium*) or protected areas (with strict or limited management) permitted.

Planning units were created using 1 km² hexagons, further divided by riparian zones and official land allocations (Supplementary Information). This resulted in 101,875 planning units that averaged 79.8 ha each. We then restricted these planning units so that they could only be selected if the forest management type was legally permitted and physically possible: officially designated⁶² protection forest (*Hutan Lindung*) and conservation areas (*Hutan Konservasi*) allow only protected areas; limited production forest (*Hutan Produksi Terbatas*) allows protected areas and selective logging; existing *Acacia mangium* plantations could not be logged for natural forest timber or protected; all other areas, that is, production forest (*Hutan Produksi* and *Hutan Produksi Konversi*) are unconstrained.

For comparison, we ran the optimization for two broad problems: (1) 'improved management', where any management type from Table 1 could be selected; and (2) 'conventional only', where the problem was constrained so that only the conventional management types from Table 1 were permitted. This enabled a comparison between the relative contribution of improved management and the gains from altering the balance between sparing or sharing. We also conducted a sensitivity analysis using a range of parameter combinations to calculate conservation objectives and NPVs (Supplementary Table 5). We ran both broad problems across the full continuum from sparing to sharing (29 points), 11 different combinations of conservation objectives (for example, targeting specific taxa or threatened status), 3 variations on how conservation objectives were calculated and 11 different variations of the NPVs. This resulted in 4,466 scenarios for each broad problem.

Code availability

We formulated the integer linear programming problem using the R programming language⁶³ and solved it using the software Gurobi⁶⁴. The R code is available from the corresponding author upon reasonable request.

Data availability

The data sets analysed in this paper are available via <https://doi.org/10.5063/F1GX48S7>.

Received: 6 March 2018; Accepted: 30 November 2018;

Published online: 10 January 2019

References

- Wilson, E. O. *The Diversity of Life* (Belknap Press, Cambridge, 1992).
- Griscom, B. W. et al. Natural climate solutions. *Proc. Natl Acad. Sci. USA* **114**, 11645–11650 (2017).
- Sheil, D. & Wunder, S. The value of tropical forest to local communities: complications, caveats, and cautions. *Conserv. Ecol.* **6**, 9 (2002).
- Decision X/2, The strategic plan for biodiversity 2011–2020 and the Aichi biodiversity targets. In *Proc. Conf. Parties Convention Biol. Diversity* (CBD, 2010).
- United Nations Climate Summit. *New York Declaration on Forests* (United Nations, New York, 2014).
- United Nations. *The Sustainable Development Goals Report 2017* (United Nations, New York, 2017).
- United Nations. *Adoption of the Paris Agreement* (United Nations, New York, 2015).
- Gustavsson, L., Pingoud, K. & Sathre, R. Carbon dioxide balance of wood substitution: comparing concrete- and wood-framed buildings. *Mitig. Adapt. Strateg. Glob. Chang.* **11**, 667–691 (2006).
- International Tropical Timber Organization. *Biennial Review and Assessment of the World Timber Situation* (ITTO, Yokohama, 2017).
- Food and Agriculture Organization of the United Nations. *Contribution of the Forestry Sector to National Economies, 1990–2011* (FAO, Rome, 2014).
- Barlow, J. et al. Quantifying the biodiversity value of tropical primary, secondary, and plantation forests. *Proc. Natl Acad. Sci. USA* **104**, 18555–18560 (2007).
- Abood, S. A., Lee, J. S. H., Burivalova, Z., Garcia-Ulloa, J. & Koh, L. P. Relative contributions of the logging, fiber, oil palm, and mining industries to forest loss in Indonesia. *Conserv. Lett.* **8**, 58–67 (2015).
- Griscom, B. & Goodman, R. Reframing the sharing vs sparing debate for tropical forestry landscapes. *J. Trop. For. Sci.* **27**, 145–147 (2015).
- Edwards, D. P., Tobias, J. A., Sheil, D., Meijaard, E. & Laurance, W. F. Maintaining ecosystem function and services in logged tropical forests. *Trends Ecol. Evol.* **29**, 511–520 (2014).
- Edwards, D. P. et al. Land-sharing versus land-sparing logging: reconciling timber extraction with biodiversity conservation. *Glob. Chang. Biol.* **20**, 183–191 (2014).
- Griscom, B. W., Goodman, R. C., Burivalova, Z. & Putz, F. E. Carbon and biodiversity impacts of intensive versus extensive tropical forestry. *Conserv. Lett.* **11**, e12362 (2018).
- França, F. M., Frazão, F. S., Korasaki, V., Louzada, J. & Barlow, J. Identifying thresholds of logging intensity on dung beetle communities to improve the sustainable management of Amazonian tropical forests. *Biol. Conserv.* **216**, 115–122 (2017).
- Phalan, B., Onial, M., Balmford, A. & Green, R. E. Reconciling food production and biodiversity conservation: land sharing and land sparing compared. *Science* **333**, 1289–1291 (2011).
- Law, E. A. & Wilson, K. A. Providing context for the land-sharing and land-sparing debate. *Conserv. Lett.* **8**, 404–413 (2015).
- Hansen, M. C. et al. High-resolution global maps of 21st-century forest cover change. *Science* **342**, 850–853 (2013).
- Ramankutty, N., Evan, A. T., Monfreda, C. & Foley, J. A. Farming the planet: 1. Geographic distribution of global agricultural lands in the year 2000. *Global Biogeochem. Cycles* **22**, GB1003 (2008).
- Bicknell, J. E., Struebig, M. J., Edwards, D. P. & Davies, Z. G. Improved timber harvest techniques maintain biodiversity in tropical forests. *Curr. Biol.* **24**, R1119–R1120 (2014).
- Paquette, A. & Messier, C. The role of plantations in managing the world's forests in the Anthropocene. *Front. Ecol. Environ.* **8**, 27–34 (2010).
- Kuempel, C. D., Adams, V. M., Possingham, H. P. & Bode, M. Bigger or better: the relative benefits of protected area network expansion and enforcement for the conservation of an exploited species. *Conserv. Lett.* **11**, e12433 (2018).
- Gaveau, D. L. A. et al. Examining protected area effectiveness in Sumatra: importance of regulations governing unprotected lands. *Conserv. Lett.* **5**, 142–148 (2012).
- de Bruyn, M. et al. Borneo and Indochina are major evolutionary hotspots for Southeast Asian biodiversity. *Syst. Biol.* **63**, 879–901 (2014).
- Wells, P. L., Paoli, G. D. & Suryadi, I. *Landscape High Conservation Values in East Kalimantan: Mapping & Recommended Management, with Special Focus on Berau and East Kutai Regencies* (The Nature Conservancy, Jakarta, 2010).
- Ruslandi., Putz F. E. & Cropper, W. P. Effects of silvicultural intensification on timber yields, carbon dynamics, and tree species composition in a dipterocarp forest in Kalimantan, Indonesia: an individual-tree based model simulation. *For. Ecol. Manage.* **390**, 104–118 (2017).
- Curran, L. M. et al. Lowland forest loss in protected areas of Indonesian Borneo. *Science* **303**, 1000–1003 (2004).
- Watson, J. E. M. et al. The exceptional value of intact forest ecosystems. *Nat. Ecol. Evol.* **2**, 599–610 (2018).
- Barlow, J. et al. Anthropogenic disturbance in tropical forests can double biodiversity loss from deforestation. *Nature* **535**, 144–147 (2016).
- Cowlishaw, G., Pettifor, R. A. & Isaac, N. J. B. High variability in patterns of population decline: the importance of local processes in species extinctions. *Proc. Biol. Sci.* **276**, 63–69 (2009).
- Brodie, J. F. et al. Correlation and persistence of hunting and logging impacts on tropical rainforest mammals. *Conserv. Biol.* **29**, 110–121 (2015).
- Burivalova, Z. et al. Avian responses to selective logging shaped by species traits and logging practices. *Proc. Biol. Sci.* **282**, 20150164 (2015).

35. Martin, P. A., Newton, A. C. & Bullock, J. M. Carbon pools recover more quickly than plant biodiversity in tropical secondary forests. *Proc. Biol. Sci.* **280**, 20132236 (2013).
36. Koh, L. P., Lee, T. M., Sodhi, N. S. & Ghazoul, J. An overhaul of the species-area approach for predicting biodiversity loss: incorporating matrix and edge effects. *J. Appl. Ecol.* **47**, 1063–1070 (2010).
37. Boakes, E. H., Mace, G. M., McGowan, P. J. K. & Fuller, R. A. Extreme contagion in global habitat clearance. *Proc. Biol. Sci.* **277**, 1081–1085 (2010).
38. Santika, T. et al. Community forest management in Indonesia: avoided deforestation in the context of anthropogenic and climate complexities. *Glob. Environ. Change* **46**, 60–71 (2017).
39. Runting, R. K. et al. Alternative futures for Borneo show the value of integrating economic and conservation targets across borders. *Nat. Commun.* **6**, 6819 (2015).
40. Governor of East Kalimantan *Peraturan Gubernur Kalimantan Timur, Nomor 17 Tahun 2015, Tentang, Penataan Pemberian Izin Dan Non Perizinan Serta Penyempurnaan Tata Kelola Perizinan Di Sektor Pertambangan, Kehutanan Dan Perkebunan Kelapa Sawit Di Provinsi Kalimantan Timur* (2015).
41. Bicknell, J. E., Gaveau, D. L. A., Davies, Z. G. & Struebig, M. J. Saving logged tropical forests: closing roads will bring immediate benefits. *Front. Ecol. Environ.* **13**, 73–74 (2015).
42. Matricardi, E. A. T., Skole, D. L., Pedlowski, M. A., Chomentowski, W. & Fernandes, L. C. Assessment of tropical forest degradation by selective logging and fire using Landsat imagery. *Remote Sens. Environ.* **114**, 1117–1129 (2010).
43. Koplitz, S. N. et al. Public health impacts of the severe haze in Equatorial Asia in September–October 2015: demonstration of a new framework for informing fire management strategies to reduce downwind smoke exposure. *Environ. Res. Lett.* **11**, 094023 (2016).
44. Davis, J. T. et al. It's not just conflict that motivates killing of orangutans. *PLoS ONE* **8**, e75373 (2013).
45. Harrison, R. D. et al. Impacts of hunting on tropical forests in Southeast Asia. *Conserv. Biol.* **30**, 972–981 (2016).
46. Brashares, J. S. et al. Bushmeat hunting, wildlife declines, and fish supply in West Africa. *Science* **306**, 1180–1183 (2004).
47. McQuistan, C. I., Fahmi, Z., Leisher, C., Halim, A. & Adi, S. W. *Protected Area Funding in Indonesia: a study implemented under the Programmes of Work on Protected Areas of the Seventh Meeting of the Conference of Parties on the Convention on Biological Diversity* (State Ministry of Environment, Republic of Indonesia, Jakarta, 2006).
48. Romero, C. et al. *An Overview of Current Knowledge about the Impacts of Forest Management Certification: a Proposed Framework for Its Evaluation* (CIFOR, Bogor, 2013).
49. Venter, O. et al. Using systematic conservation planning to minimize REDD+ conflict with agriculture and logging in the tropics. *Conserv. Lett.* **6**, 116–124 (2013).
50. Meijaard, E. et al. People's perceptions about the importance of forests on Borneo. *PLoS ONE* **8**, e73008 (2013).
51. Bennett, E. M. Changing the agriculture and environment conversation. *Nat. Ecol. Evol.* **1**, 18 (2017).
52. Law, E. A. et al. Better land-use allocation outperforms land sparing and land sharing approaches to conservation in Central Kalimantan, Indonesia. *Biol. Conserv.* **186**, 276–286 (2015).
53. Sodhi, N. S., Koh, L. P., Brook, B. W. & Ng, P. K. L. Southeast Asian biodiversity: an impending disaster. *Trends Ecol. Evol.* **19**, 654–660 (2004).
54. Green, R. E., Cornell, S. J., Scharlemann, J. P. & Balmford, A. Farming and the fate of wild nature. *Science* **307**, 550–555 (2005).
55. Zhuang, J., Liang, Z., Lin, T. & De Guzman, F. *Theory and Practice in the Choice of Social Discount Rate for Cost–Benefit Analysis: a Survey* (Asian Development Bank, Manila, 2007).
56. Struebig, M. J. et al. Targeted conservation to safeguard a biodiversity hotspot from climate and land-cover change. *Curr. Biol.* **25**, 372–378 (2015).
57. McBride, M. F. et al. Structured elicitation of expert judgments for threatened species assessment: a case study on a continental scale using email. *Methods Ecol. Evol.* **3**, 906–920 (2012).
58. Martin, T. G. et al. Eliciting expert knowledge in conservation science. *Conserv. Biol.* **26**, 29–38 (2012).
59. Watts, M. E., Ball, I. R., Stewart, R. S., Klein, C. J. & Wilson, K. Marxan with Zones: software for optimal conservation based land-and sea-use zoning. *Environ. Model. Softw.* **24**, 1513–1521 (2009).
60. Beyer, H. L., Dujardin, Y., Watts, M. E. & Possingham, H. P. Solving conservation planning problems with integer linear programming. *Ecol. Modell.* **328**, 14–22 (2016).
61. Tropical Forest Foundation. *RIL Verified Participants* <http://www.tff-indonesia.org/index.php/r-i-1/ril-verified-participants> (2016).
62. Minister of Forestry of the Republic of Indonesia *Keputusan Menteri Kehutanan Republik Indonesia, Nomor: SK.718/Menhut-11/2014* (2014).
63. R Core Team. *R: A Language and Environment for Statistical Computing* (R Foundation for Statistical Computing, Vienna, 2018).
64. *Gurobi Optimizer Reference Manual* (Gurobi Optimization, 2014).

Acknowledgements

This research was supported by Australian Research Council Discovery Project grant no. DP160101397. Support was also provided by funding from the Doris Duke Charitable Foundation and the Science for Nature and People Partnership (SNAPP), a partnership of The Nature Conservancy, the Wildlife Conservation Society and the National Center for Ecological Analysis and Synthesis at the University of California, Santa Barbara (<https://snappartnership.net>). F.A.A.K was supported by a Niche Research Grant Scheme, grant no. NRGs/1087/2–13(01). We would like to thank A. Klassen, C. Romero, N. Wolff and all members of the SNAPP Forest Sparing or Sharing team for useful discussions.

Author contributions

B.G., O.V., R.K.R., E.T.G., Z.B., F.E.P., R., J.A.W., P.E., S.M.L. and M.S. conceptualized the manuscript. R.K.R., R., M.J.S., M.S. and J.A.W. developed the spatial data inputs. R.K.R. led the expert elicitation with input from E.M., M.J.S., O.V., N.J.D., A.W., E.T.G., S.M.C., M.S., A.J.M., B.G., F.A.A.K., M.A. and Z.B. R.K.R. conducted the analyses. All authors interpreted the results and contributed to writing the paper.

Competing interests

The authors declare no competing interests.

Additional information

Supplementary information is available for this paper at <https://doi.org/10.1038/s41893-018-0203-0>.

Reprints and permissions information is available at www.nature.com/reprints.

Correspondence and requests for materials should be addressed to R.K.R.

Publisher's note: Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.

© The Author(s), under exclusive licence to Springer Nature Limited 2019